

JUDGE SULLIVAN

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

07 CIV 7679

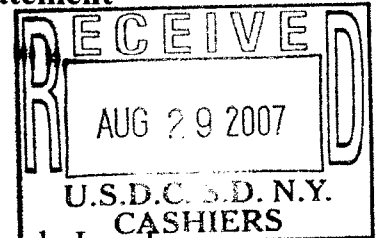
John J. Fiero and Fiero Brothers, Inc.,
Plaintiffs

Case No.

-v-

National Association of Securities
Dealers, Inc.,
Defendant

Rule 7.1 Statement



Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Fiero Brothers, Inc. (a private non-governmental party) certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

None

Date: August 29, 2007



Signature of Attorney

Brian D. Graifman

Attorney Bar Code: BG5636